



## Polymorphism (ALA16VAL) correlates with regional lymph node status in breast cancer

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### Abstract

We studied the possible association between Ala16Val manganese-dependent superoxide dismutase (MnSOD) gene genotypes and breast cancer lymph node status because previous investigations suggested an association between the AA genotype and breast cancer. We included 281 women (188 controls and 93 cases of invasive breast cancer with axillary lymph node metastasis (LN+) and without lymph node metastasis (LN−). DNA was extracted from paraffin-embedded tumor tissue or peripheral blood leukocytes, and MnSOD polymorphism was determined by polymerase chain reaction—restriction fragment length polymorphism techniques. In addition, the immunohistochemical profile (p53, Ki-67 and estrogen/progesterone receptors) was also compared between invasive breast cancer groups and different MnSOD genotypes. The frequency of the VV genotype was higher in the LN+ group than in the control and LN− groups ( $\chi^2 = 5.081$ ,  $P = 0.02$ ). Subjects with LN+ breast cancer (LN+ group) showed a higher incidence of VV genotype carriers associated with positive Ki-67 marker. Subjects with LN+ breast cancer (LN+ group) showed a higher incidence of VV genotype carriers associated with negative p53 marker. Despite the fact that the AA genotype is well established as being associated with an increased risk of breast cancer, the VV genotype may be associated with a higher metastatic potential, suggesting that MnSOD imbalance is the condition associated with carcinogenesis. © 2009 Elsevier Inc. All rights reserved.

### 1. Introduction

It is well recognized that oxidative stress plays a role in different stages of carcinogenesis, and that the most important cellular protective mechanisms against oxidative stress involve antioxidant enzymes. Their action is based on the degradation of reactive oxygen species (ROS) and their transformation to H<sub>2</sub>O<sub>2</sub>. Manganese superoxide dismutase (MnSOD) is an enzyme with a major role in the mechanism of cellular defense against oxidative stress—inducing agents. This enzyme is critical in the management of oxidative stress by catalyzing the formation of hydrogen peroxide from two superoxide anions. Hydrogen peroxide is then converted to water by glutathione peroxidase or catalase.

Some studies suggest that neoplastic cells in breast carcinomas retain their capacity to produce MnSOD and are thus protected from possible cellular damage due to reactive oxygen species [1,2]. In addition, MnSOD content varies according to the degree of differentiation of breast carcinoma [3]. Additionally, investigations in experimental models reported that superoxide dismutase derivatives can significantly inhibit both the production of ROS and metastatic tumor growth [4]. On the other hand, Connors et al. [5] tested the hypothesis that the MnSOD-dependent production of H<sub>2</sub>O<sub>2</sub> can influence the invasive and migratory properties of tumor cell lines. The authors used both in vitro and in vivo methods to examine the role of MnSOD in invasion and migration, and found that the increase in mitochondrial H<sub>2</sub>O<sub>2</sub> induced by MnSOD enhanced the invasive and migratory properties of tumor cells, whereas an efficient H<sub>2</sub>O<sub>2</sub> detoxification could restrict the metastatic phenotype.

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113 The MnSOD gene, located on human chromosome 6q25  
114 and also known as *SOD2*, shows a polymorphism in the  
115 mitochondrial targeting sequence (a valine-to-alanine  
116 substitution, rs4880) that results in three different geno-  
117 types: AA, VV, and AV at amino acid position 16 [6].  
118 Single amino acid polymorphism alanine (Ala) to valine  
119 (Val) at the 16th amino acid (16th amino acid from the  
120 beginning of the signal sequence or –9th amino acid from  
121 the first amino acid of the mature protein) of the signal  
122 sequence of MnSOD (Ala16Val) has been suggested to  
123 change the secondary structure of the premature protein  
124 and, therefore, the mitochondrial targeting of the enzyme.  
125 Recent investigations have used in vitro import of chimeric  
126 proteins composed of either one of the MnSOD/MTS fused  
127 to the mouse dihydrofolate reductase protein, and the  
128 import of the two human MnSOD precursor variants into  
129 rat liver mitochondria. The results showed that the Ala-  
130 MnSOD precursor generated 30–40% more of the active  
131 matricial, processed MnSOD homotetramer, than did the  
132 Val-MnSOD precursor. In this case, the Ala-MnSOD/  
133 MTS allows efficient MnSOD import into the mitochon-  
134 drial matrix, while the Val variant causes partial arrest of  
135 the precursor within the inner membrane and decreased  
136 formation of the active MnSOD homotetramer in the mitochon-  
137 drial matrix [7].

138 The Ala allele or genotype AA, thought to alter transport  
139 of the enzyme into mitochondria, has been associated with  
140 increased risk for breast cancer [8–14]. Additional studies  
141 show possible interaction between this polymorphism and  
142 antioxidant dietary pattern [15] and other lifestyle variables  
143 such as cigarette smoking [16] in breast cancer risk.

144 It is surprising that additional epidemiologic studies sug-  
145 gested that the V allele is not a “good genetic variant” because  
146 it has been associated with carotid atherosclerosis quantified  
147 as intima-media thickness by ultrasound [17], nonfamilial  
148 dilated cardiomyopathy in Japanese subjects [18], higher  
149 oxidized LDL levels in Brazilian subjects with synergic effect  
150 in diabetes type II patients, and obesity [19,20]. In addition,  
151 the A allele variant of the signal peptide increases mitochon-  
152 drial MnSOD activity, protects macrophages against oxLDL-  
153 induced apoptosis, and reduces the risk of coronary artery  
154 disease and acute myocardial infarction [21].

155 These results suggest that a “paradox” exists in the  
156 MnSOD polymorphism because there is an imbalance in  
157 anti-oxidant modulation, mainly in homozygosis (AA and  
158 VV), which increases superoxide levels in VV subjects or  
159 hydrogen peroxide levels in AA subjects. In both cases,  
160 environmental interactions could increase or decrease risk  
161 of nontransmissible morbidities such as neoplasias and  
162 cardiovascular diseases.

163 In previous studies performed by our group, we found an  
164 association between the AA genotype of the Ala16Val  
165 MnSOD polymorphism with increased risk for prostate and  
166 breast cancer and immunosenescence profile, as well as  
167 DNA damage [22] and breast cancer in males. Taken together,  
168 these results suggest that the role of MnSOD in the initiation

of carcinogenesis is strongly implicated in cellular damage in  
the etiology of several types of cancer because DNA mutation  
is a critical step in carcinogenesis [20]. DNA damage can  
result in either arrest or induction of transcription, induction  
of signal transduction pathways, replication errors, and  
genomic instability, all of which are associated with carcino-  
genesis. Therefore, the imbalance associated with the Ala  
allele of the Ala16Val MnSOD gene polymorphism could  
increase H<sub>2</sub>O<sub>2</sub> in the cytosol, which could induce production  
of reactive oxygen species (ROS), cause DNA damage, and  
subsequently increase breast cancer risk.

On the other hand, there is cumulative evidence to support  
divergent effects on cell proliferation and death signaling for  
the two major intracellular reactive oxygen intermediates,  
O<sub>2</sub><sup>-</sup> and H<sub>2</sub>O<sub>2</sub>. Under normal physiologic states, the intracel-  
lular ratio of O<sub>2</sub><sup>-</sup> and H<sub>2</sub>O<sub>2</sub> is maintained by a tight control  
imposed by cellular antioxidant enzyme systems, which  
helps in keeping a balance between the rates of cell prolifer-  
ation and cell death, maintaining a homeostatic growth [23].

Based on these results, we hypothesized that Ala16Val  
MnSOD homozygous genotypes (AA and VV) represent  
a genetic MnSOD unbalanced state that is differentially  
associated with risk in different cancer stages. To analyze  
this hypothesis, we studied here the possible association  
between Ala16Val MnSOD gene genotypes and breast  
cancer lymph node status in women.

## 2. Materials and methods

### 2.1. Design and subjects

In a previous case–control study performed by our group  
including 470 subjects in which we analyzed the association  
between Ala16Val MnSOD gene polymorphism in male and  
female breast cancer, we selected 93 patients with invasive  
breast cancer: 48 subjects with axillary lymph node metas-  
tasis (LN+) and 45 subjects without lymph node metastasis  
(LN–) plus 188 controls. Genotype and allelic frequencies  
of these subjects were compared to 188 control women.  
The groups were corrected for age and breast cancer biomarke  
(cell cycle and hormonal variables) profile detected by immu-  
nohistochemical staining in cancer tissue (p53, Ki-67, and  
estrogen/progesterone receptors). Clinical stages (I, II, and  
III) were compared between cancer groups and Ala16Val  
polymorphism carriers. As the study includes genetic vari-  
ables, samples were recruited by random selection of Brazil-  
ians of European ancestry from the metropolitan area of Porto  
Alegre, Rio Grande do Sul State (RS). Compared with Brazil  
as a whole, the population of this state is composed mainly of  
people of European ancestry (82%). A previous study per-  
formed by Cruz et al. [24] in different ethnic populations  
showed different allele and genotype distributions, as well  
as different associations with diseases or biologic characteris-  
tics. For this reason, we just analyzed Caucasian subjects,  
who represent the main ethnic group [25,26].

European-descendent patients with breast cancer were consecutively recruited from the breast cancer unit of Complexo Hospitalar Santa Casa located in Porto Alegre, capital of the southernmost state of Brazil. Unlike Brazil as a whole, the population of this state is composed mainly of people of European ancestry (82%) [27]. Control women were recruited by random selection from the Health and Social Assistance Program of Gravataí, a city from the Porto Alegre Metropolitan area, Rio Grande do Sul State. All volunteers went for an outpatient visit at the research unit for laboratory tests, physical examination, and interviews. The Institutional Committee of Ethics approved the present study, and informed consent was obtained from all individuals whose information was prospectively collected.

## 2.2. MnSOD genotyping

Genomic DNA was isolated from peripheral blood leukocytes and from tissue samples using the DNA Mini Kit Purification (Mo Bio). The method used here to detect Ala16Val polymorphism is described in detail in Bica et al. The polymerase chain reaction product (10  $\mu$ L) was digested with HaeIII (15 units, 37 °C, 6 hours; Gibco). Digested products [23 and 85 base pairs (bp)] were visualized on a 4% agarose gel (Amersham Biosciences Inc., Amersham, UK) stained with ethidium bromide. A mutation was introduced by a primer mismatch to create a restriction cut site for HaeIII in the -9 codon, and the following genotypes were observed: -9Ala/Ala (23 and 85 bp), -9Ala/Val (23, 85, and 110 bp), and -9Val/Val (110 bp).

Table 1  
Demographic, cancer familial history, cell cycle and hormonal markers of the patients with lymph node (LN+) and without lymph node breast cancer (LN-)

Variables	LN+ (n = 48)	LN- (n = 45)	P <sup>a</sup>
Age [mean $\pm$ SD] (yr)	57.91 $\pm$ 12.14	58.15 $\pm$ 1.73	0.908
Breast cancer in first-degree relatives (%)	48.5	51.7	0.965
Age			
<50 years (%)	27.7	28.9	0.896
≥50 years (%)	72.3	71.1	
Tumor histologic type			
Lobular carcinoma (%)	12.8	14.0	0.689
Ductal carcinoma (%)	87.2	86.0	
ER/PR			
Positive	83.0	72.1	0.324
Negative	17.0	27.9	
Ki-67			
Positive	87.1	79.4	0.621
Negative	12.9	20.6	
p53			
Positive	35.3	25.7	0.547
Negative	64.7	74.3	
Clinical stages			
I	6.5	18.2	0.09
II	56.5	61.4	
III	37.0	20.5	

Abbreviation: SD, standard deviation; ER/PR, estrogen receptor/progesterone receptor.

<sup>a</sup> From the chi square test (categoric variables) or Student's *t*-test (continuous variables).

## 2.3. Statistical analysis

We estimated allele frequencies were estimated by using the gene-counting method. Chi square analysis was used to estimate Hardy-Weinberg equilibrium. The allele and genotype frequencies were compared between the two patient groups (LN+ and LN-), using the chi-square test or Fisher's exact test. All levels of significance used were two-tailed. The odds ratio and 95% confidence intervals (95%CI) were determined for genotype/allele comparisons. To test intervening factors, we performed a multivariate analysis using forward Wald logistic regression. A computer statistics package (SPSS 11.0, Chicago, IL) was used for statistical analyses in this study.

## 3. Results

The demographics, breast cancer biomarkers (cell cycle and hormonal variables), and cancer clinical stages observed in the LN+ and LN- groups are shown in Table 1. Clinical stage comparison between the LN+ and LN- groups was not statistically significant.

The VV and AA + AV carriers were compared with regard to the percentage of compromised lymph nodes in the breast cancer group. VV subjects showed 27.92  $\pm$  32.99 compromised lymph nodes, whereas the AA + AV carriers had only 13.47  $\pm$  24.89. Despite the broad standard deviation, these means do differ statistically (*P* = 0.04).

The gene frequencies were all in Hardy-Weinberg equilibrium in the groups investigated here. Ala allele

Table 2  
MnSOD polymorphism genotype frequencies in control, LN+ and LN- groups

Groups	MnSOD polymorphism genotypes		
	VV	AA	AV
Control	43 (22.9) <sup>a</sup>	27 (14.4)	118 (62.8)
LN-	9 (20.0) <sup>a</sup>	7 (15.6)	29 (64.4)
LN+	20 (41.7) <sup>b</sup>	3 (6.3)	25 (52.1)

Groups with same letters did not present statistical differences in the chi square test.

frequency was 0.260 and Val allele frequency was 0.740 in the LN+ group. Ala allele frequency was 0.478 and Val allele frequency was 0.522 in the LN- group. As can be seen in Table 2, the frequency of the VV genotype was more frequent in the LN+ than in the LN- and the control groups ( $\chi^2 = 5.081$ ,  $P = 0.02$ ). Odds ratio to show the VV genotype was 2.857 (95%CI, 1.129-7.233) in the LN+ group when compared to LN- group.

Additional analysis comparing the odds ratio in the association between VV genotype and demographics, cell proliferation, and hormonal biomarkers was performed (Table 3). Subjects with LN+ breast cancer (LN+ group) showed a higher incidence of VV genotype carriers with positive Ki-67 marker. On the other hand, subjects with LN+ breast cancer (LN+ group) showed a higher incidence of VV genotype carriers with negative p53 marker. Multivariate analysis showed that the results obtained were independent of age, breast cancer in first-degree relatives, and clinical stages.

#### 4. Discussion

In this study, we report an association between VV genotype and lymph node involvement in women with breast cancer. Several lines of evidence demonstrate that ROS are involved in carcinogenesis and cancer progression. In this process, the modulation of endogenous antioxidant

defenses by antioxidant enzymes is crucial, mainly in the superoxide and hydrogen peroxide balance. As the antioxidant enzymes derive from the expression of nuclear genes, functional polymorphisms could affect this oxidative balance, as in the case of the Ala-16Val MnSOD gene polymorphism. Previous case-control studies suggested an association between the AlaAla genotype and breast cancer risk. Most of these investigations, however, were limited to an association between the polymorphism and cancer initiation (carcinogenesis) without analyzing the relationship with cancer progression. In this paper, we performed these analyses comparing two female breast cancer groups (positive and negative lymph nodes). In the first instance, the results described here that showed an association between positive lymph nodes and VV genotype could be paradoxical, since previous studies found an association between the AA genotype and breast cancer risk, including two studies performed by our research team [12].

Our results could be biologically explained, considering that the functional enzyme from the VV genotype is less capable of lowering cellular levels of oxidative damage compared to the AA genotype.

This differential capacity is related to the A allele, which confers a 40% higher MnSOD activity than does the V allele after import into isolated mitochondria in vitro. A recent study analyzed the functional consequences in whole cells of the Ala-16-Val polymorphism using HuH7 human hepatoma cells transfected with vectors encoding the A and V MnSOD-variants. The Ala variant resulted in four-fold higher levels of the mature exogenous protein and MnSOD activity compared to the Val-variant. The study showed that slowly imported Val-MnSOD is degraded by proteasomes in cells and may be associated with decreased mRNA stability, possibly due to impaired cotranslational import [28].

In this way, the conversion rate of superoxide into hydrogen peroxide associated with the A allele is more effective, but excessive superoxide dismutation to  $H_2O_2$ , which is an important oxidative molecule, could stimulate cancer

Table 3  
Odds ratio to age, cell cycle and hormonal markers variables LN+ and LN- groups with VV genotype

Variables	LN+ group		LN- group	
	OR to VV	95%CI	OR to VV	95%CI
Age				
<50 years	3.000	0.737-12.209	0.636	0.366-1.007
■ 50 years	1.882	0.873-4.058	0.753	0.538-1.053
Ki-67				
Positive	3.250	1.213-8.708	0.609	0.410-0.904
Negative	1.750	0.265-20.325	0.428	0.628-4.880
p53				
Positive	2.250	0.585-8.652	0.643	0.331-1.250
Negative	2.836	1.182-6.806	0.563	0.343-0.923
ER/PR				
Positive	1.987	0.874-4.516	2.500	0.818-7.642
Negative	2.500	0.818-7.642	0.500	0.193-1.296

OR, odds ratio; 95%CI, 95% confidence interval.

448 progression. These results have a biologic plausibility if we  
 449 consider studies that investigated MnSOD expression modu-  
 450 lation associated with breast cancer progression. Some  
 451 studies have suggested that MnSOD suppresses cell growth  
 452 in several tumor cells in vivo and in vitro [29,30]. Although  
 453 the molecular mechanism of this repressive effect in tumor  
 454 cells is not fully understood, MnSOD expression has been re-  
 455 ported to be more frequent in tumor cells of invasive breast  
 456 carcinomas than in situ carcinomas or non-neoplastic breast  
 457 epithelial cells. Kim and colleagues described the potential  
 458 role of manganese superoxide dismutase enzyme in the inhi-  
 459 bition of tumor cell growth [31]. The study showed an  
 460 apparent decrease in MnSOD levels in numerous tumor cell  
 461 lines when compared to their non-malignant counterparts.  
 462 These data led to the proposal that MnSOD could exert  
 463 a tumor suppressive effect in estrogen-dependent human  
 464 breast cancer cells. Kattan and colleagues investigated the  
 465 role of manganese superoxide dismutase on the growth and  
 466 invasive properties of human estrogen-independent breast  
 467 cancer cells. The authors also concluded that MnSOD plays  
 468 a role in regulating tumor cell growth and invasive properties  
 469 of estrogen-independent metastatic breast cancer cells [32].

470 Although these results are not universal, based on this  
 471 study, we suggest that Ala16Val MnSOD gene polymor-  
 472 phism is associated with breast cancer metastatic potential  
 473 because the VV genotype was found to be more frequent in  
 474 LN+ breast cancer.

475 Therefore, despite the methodologic limitation of our  
 476 study, we suggest here the possible association between  
 477 VV genotype and breast cancer progression, Ki-67, and  
 478 53 cell cycle markers. Based on these results and previous  
 479 studies, we suggest that the MnSOD imbalance that occurs  
 480 in the Ala16Val MnSOD homozygous states (AA and VV)  
 481 confers a differential role in breast cancer initiation and  
 482 progression. In this case, tumor initiation, the AA geno-  
 483 types potentially increase breast cancer risk, whereas in  
 484 tumor progression, the VV genotype seems to be the risk  
 485 genotype. This MnSOD imbalance, however, can be posi-  
 486 tively or negatively influenced by other intervening vari-  
 487 ables such as diet, physical activity patterns, smoking  
 488 addiction, alcohol consumption, and genes, and needs to  
 489 be considered in further investigations.

#### 492 Acknowledgments

493 The authors thank Professor João Carlos Prolla, Márcia  
 494 Wink, Maira Caleffi, and Silvana de Almeida for their help-  
 495 ful assistance and suggestions, and Maristela Taufer and  
 496 Graziela Oliveira for help in molecular genetics analysis.  
 497 Dr. A. Leyva provided English editing of the manuscript.  
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